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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Transmittal

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: William J. Anderl, et al.

For: COMPACT OPTICAL TRANSCEIVERS INCLUDING THERMAL DISTRIBUTING  
AND ELECTROMAGNETIC SHIELDING SYSTEMS AND METHODS THEREOF

ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION

ASSIGNEE RESIDENCE: ARMONK, NEW YORK

Enclosed are:

- 34 Pages of Specification and 1 Abstract
- 8 Sheets of Drawings
- A Declaration and Power of Attorney
- An Information Disclosure Statement and form PTO-1449
- A certified copy of Japanese Application
- An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504

The filing fee has been calculated as follows:

For:	No. Filed	No. Extra
Basic Fee		
Total Claims	30 -20 =	10
Indep. Claims	9 -3 =	6
<input type="checkbox"/> Multiple Dependent Claim Presented		

Other Than Small Entity

Rate	Fee
	\$ 710.00
x \$18.00=	180.00
x \$80.00=	480.00
\$270.00	\$ 0.00
TOTAL	1,370.00

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EK595506133US

Date: March 15, 2001

I hereby certify that I am depositing the enclosed or attached paper with the U.S. Postal Service Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Leslie J. Payne

Patent Application Transmittal  
Attorney Docket No. ROC920010018US1

Deposit Account Authorization:

- Please charge Deposit Account No. 09-0465 in the amount of \$1,370.00. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0465. A duplicate copy of this sheet is enclosed.
  - Any additional filing fees required under 37 C.F.R. §1.16.
  - Any patent application processing fees under 37 C.F.R. §1.17.

Date: March 15, 2001

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Respectfully submitted,

By

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